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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/076,136	02/14/2002	Ring-Ling Chien	100/13010

021569  
CALIPER TECHNOLOGIES CORP  
605 FAIRCHILD DRIVE  
MOUNTAIN VIEW, CA 94043

CONFIRMATION NO. 8859

FORMALITIES LETTER



\*OC000000007628065\*

Date Mailed: 03/13/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$642.
  - \$306 for 17 total claims over 20.
  - \$336 for 4 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1512.**

05/13/2002 STEUHEL1 00000063 030177 10076136

01 FC:101	740.00 CH
02 FC:102	306.00 CH
03 FC:102	336.00 CH
04 FC:105	130.00 CH

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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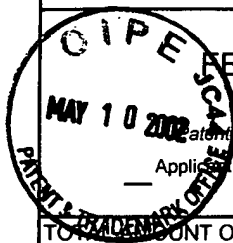
(Modified) PTO/SB/21 (12-97)

For us through 9/30/00 OMB 0651-0031

<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	Application Number	10/076,136
	Filing Date	February 14, 2002
	First Named Inventor	Chi n
	Group Art Unit	1741
	Examiner Name	
Total number of pages This Submission	Attorney Docket No.	100/13010

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form (in duplicate) <input type="checkbox"/> Fee Attached <input type="checkbox"/> No Fee Required <input checked="" type="checkbox"/> Preliminary Amendment <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Info. Discl. Stmt. (2 pages) <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawings <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> To Convert a Provisional Application <input checked="" type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below): <b>Declaration</b> <b>3.73(b) Statement</b> <b>Copy of Notice of Missing Parts</b> <b>Return Receipt Postcard</b>
<b>Authorization to Charge Deposit Account</b> Please charge Deposit Account No. 03-0177 for any additional fees associated with this paper or during the pendency of this application.		
Remarks		
<b>SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT</b>		
Individual Name	Andrew L. Filler	Reg. No. 44,107
Signature		
Date	4-30-02	

CERTIFICATE OF MAILING		
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231 on this date: May 1, 2002		
Typed or Printed Name	Michelle Chan	
Signature	Michelle Chan	Date: May 1, 2002



# **FEE TRANSMITTAL** for FY 2002

Patent fees are subject to annual revision

Applicant claims small entity status. See 37 CFR 1.27

## **Complete if Known**

Application Number **10/076,136**  
 Filing Date **February 14, 2002**  
 First Named Inventor **Chien**  
 Examiner Name  
 Group/Art Unit **1741**  
 Attorney Docket No. **100/13010**

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TOTAL AMOUNT OF PAYMENT **\$1,512**

### METHOD OF PAYMENT (check one)

### FEE CALCULATION (continued)

☐ Check ☐ Credit Card ☐ Money Order ☐ Other

Deposit Acct. No. **03-0177**  
 Deposit Acct. Name **Caliper Technologies Corp.**

The Commissioner is authorized to: (Check all that apply)

- ☒ Charge the fees indicated below  
☒ Charge any additional fee(s) during the pendency of this application  
☒ Credit any overpayments

### FEE CALCULATION

#### 1. BASIC FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	740
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	
<b>SUBTOTAL (1)</b>					<b>740</b>

#### 2. EXTRA CLAIM FEES

Total claims	Extra claims	Fee from below	Fee Paid
37	-20** = 17	x 18 =	306
7	-3** = 4	x 84 =	336
<b>Multiple Dependent</b>			

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
103	18	203	9	Claims in excess of 20	
102	84	202	42	Independent claims in excess of 3	
104	280	204	140	Multiple dependent claims, if new	
109	84	209	42	**Reissue independent claims over original patent	
110	18	210	9	**Reissue claims in excess of 20 and over original patent	
<b>SUBTOTAL (2)</b>					<b>642</b>

\*or number previously paid, if greater; For Reissues, see above

#### 3. ADDITIONAL FEES

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	130
127	50	227	25	Surcharge - late provisional filing cover sheet	
139	130	139	130	Non-English specification	
147	2520	147	2520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1840*	113	1840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for response within first month	
116	400	216	200	Extension for response within second month	
117	920	217	460	Extension for response within third month	
118	1440	218	720	Extension for response within fourth month	
128	1960	228	980	Extension for response within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1510	138	1510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1280	241	640	Petition to revive - unintentional	
142	1280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	
195	300	195	300	Publication Fee	

Other fee (specify) \_\_\_\_\_

Other fee (specify) \_\_\_\_\_

**SUBTOTAL (3) \$130**

\*Reduced by Basic Filing Fee Paid

### SUBMITTED BY

Typed or Printed Name **Andrew L. Filler**

Signature *Andrew L. Filler*

Date **4-30-02**

### Complete (if applicable)

Reg. Number **44,107**

Deposit Account **03-0177**  
 User ID

### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an

envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231 on this date:

*May 1, 2002*

Typed or Printed Name **Michelle Chan**

Signature *Michelle Chan*

Date *May 1, 2002*